

UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--------------------------------------|----------------|----------------------|-----------------------|------------------|
| 09/830,749 | 06/25/2001 | Jordan L. Holtzman | 11909.1USWO | 2030 |
| 23552 75 | 590 11/12/2004 | | EXAM | NER |
| MERCHANT & GOULD PC P.O. BOX 2903 | | | HAYES, ROBERT CLINTON | |
| MINNEAPOLIS, MN 55402-0903 | | | ART UNIT | PAPER NUMBER |
| | | | 1647 | |

DATE MAILED: 11/12/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

| | Application No. | Applicant(s) | | | | |
|---|--|--|--|--|--|--|
| | 09/830,749 | HOLTZMAN, JORDAN L. | | | | |
| Office Action Summary | Examiner | Art Unit | | | | |
| | Robert C. Hayes, Ph.D. | 1647 | | | | |
| The MAILING DATE of this communication appeariod for Reply | ppears on the cover sheet with th | ne correspondence address | | | | |
| A SHORTENED STATUTORY PERIOD FOR REP THE MAILING DATE OF THIS COMMUNICATION - Extensions of time may be available under the provisions of 37 CFR of after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a recommendation of the period for reply is specified above, the maximum statutory perions Failure to reply within the set or extended period for reply will, by stated that the period for temptor is specified above. - Any reply received by the Office later than three months after the mail carned patent term adjustment. See 37 CFR 1.704(b). | I. 1.136(a). In no event, however, may a reply be eply within the statutory minimum of thirty (30) d will apply and will expire SIX (6) MONTHS to the, cause the application to become ABAND | oe timely filed) days will be considered timely. from the mailing date of this communication. ONED (35 U.S.C. § 133). | | | | |
| Status | | | | | | |
| 1) Responsive to communication(s) filed on 15 | Responsive to communication(s) filed on <u>15 July 2004</u> . | | | | | |
| 2a) This action is FINAL . 2b) ☐ Thi | is action is non-final. | · | | | | |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. | | | | | | |
| Disposition of Claims | | | | | | |
|)⊠ Claim(s) <u>1 and 3</u> is/are pending in the application. | | | | | | |
| 4a) Of the above claim(s) is/are withdr | 4a) Of the above claim(s) is/are withdrawn from consideration. | | | | | |
| 5) Claim(s) is/are allowed. | Claim(s) is/are allowed. | | | | | |
| 6)⊠ Claim(s) <u>1 and 3</u> is/are rejected. | ☑ Claim(s) <u>1 and 3</u> is/are rejected. | | | | | |
| 7) Claim(s) is/are objected to. | Claim(s) is/are objected to. | | | | | |
| 8) Claim(s) are subject to restriction and | or election requirement. | | | | | |
| Application Papers | | • | | | | |
| 9) The specification is objected to by the Examir | ner. | | | | | |
| 10) The drawing(s) filed on is/are: a) □ ac | ccepted or b) objected to by the | he Examiner. | | | | |
| Applicant may not request that any objection to the | = ' ' | | | | | |
| Replacement drawing sheet(s) including the corre | | | | | | |
| 11) The oath or declaration is objected to by the I | Examiner. Note the attached Off | fice Action or form PTO-152. | | | | |
| Priority under 35 U.S.C. §§ 119 and 120 | | | | | | |
| 12) △ Acknowledgment is made of a claim for forei a) ☐ All b) ☐ Some * c) ☐ None of: 1. ☐ Certified copies of the priority docume 2. ☐ Certified copies of the priority docume 3. ☐ Copies of the certified copies of the prapplication from the International Bure | nts have been received. nts have been received in Applic iority documents have been received. | cation No | | | | |
| * See the attached detailed Office action for a list 13) ☐ Acknowledgment is made of a claim for domestince a specific reference was included in the f | st of the certified copies not recestic priority under 35 U.S.C. § 1 | 19(e) (to a provisional application) | | | | |
| 37 CFR 1.78.a) ☐ The translation of the foreign language p | provisional application has been | received. | | | | |
| 14) Acknowledgment is made of a claim for domes reference was included in the first sentence of | stic priority under 35 U.S.C. §§ | 120 and/or 121 since a specific | | | | |
| Attachment(s) | | | | | | |
| 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s) | 5) D Notice of Inform | nary (PTO-413) Paper No(s) nal Patent Application (PTO-152) | | | | |

Application/Control Number: 09/830,749

Art Unit: 1647

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

- 1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 7/15/04 has been entered.
- 2. The rejections of claims 1-3 under 35 U.S.C. 112, first paragraph, as lacking written description and enablement are withdrawn due to the amendment or cancellation of the claims, and Applicant's arguments. In particular, it is noted that exhibit B (Hirano et al., 1995; from the 10/23/03 response) establishes that human ERp57 and GRP58 are the same protein (see also page 6, 1^{st} pp of the instant specification), whose structure is well known in the art (e.g., see Fig. 2). Likewise, exhibit D (Malinchik et al., 1998; from the 10/23/03 response) establishes that the human β -amyloid protein's structure is also well known in the art at the time of filing Applicant's specification.

The requirement for a species election from Paper No: 7 is hereby withdrawn, as now reflected in the instant claims (i.e., as it relates to "human" proteins).

3. Applicant's arguments filed 8/28/04 and 6/18/04 have been fully considered.

- 4. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.
- 5. Claims 1 & 3 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

As discussed above in pp # 2, human ERp57 and GRP58 are the same protein. However, claim 1 recites "human ERp57 or human GRp58"; thereby, being confusing.

It is suggested that amending claim 1 to "comprising the human chaperone protein ERp57/[or human] GRp58; and..." should obviate this rejection.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to examiner Robert Hayes whose telephone number is (703) 305-3132. The examiner can normally be reached on Monday through Thursday, and alternate Fridays, from 8:30 AM to 5:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Brenda Brumback, can be reached on (571) 272-0961. The fax phone number for this Group is (703) 872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Robert C. Hayes, Ph.D. November 9, 2004

ROBERT C. HAYES, PH.D. PATENT EXAMINER